



Docket No.: 9915.007.00-US  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
John Lancaster, et al.

Application No.: 10/006,654

Group Art Unit: N/A

Filed: December 10, 2001

Examiner: Not Yet Assigned

For: **SYSTEMS AND METHODS FOR USING  
DERIVATIVE FINANCIAL PRODUCTS IN  
CAPACITY-DRIVEN INDUSTRIES**

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Box Missing Parts**  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

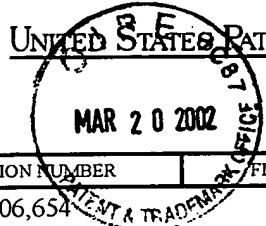
In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed January 25, 2002, Applicant respectfully submits a Combined Declaration and Power of Attorney and Petition, along with an Assignment.

Our check in the amount of \$105.00 (\$65=Declaration Fee & \$40=Assignment Fee) covering the fees set forth in 37 CFR 1.16(e) is enclosed. The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0911, under Order No. 9915.007.00-US. A duplicate copy of this paper is enclosed.

Dated: March 20, 2002

Respectfully submitted,

By *Rebecca A. Goldman*  
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PATENT TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,654	12/10/2001	John M. Lancaster	9915.007.00

CONFIRMATION NO. 2204

## FORMALITIES LETTER



\*OC000000007359800\*

LONG ALDRIDGE & NORMAN LLP  
701 Pennsylvania Avenue, N.W., Suite 600  
Washington, DC 20004

Date Mailed: 01/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Resp. to Miss. parts Mar 25, 02  
Rmrdr Feb 25, 02

Filing Date Granted

Hrg

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Ellen M. Lee*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- does not identify each inventor by full name, including the family name and at least one given name without abbreviation.

*A copy of this notice **MUST** be returned with the reply.*

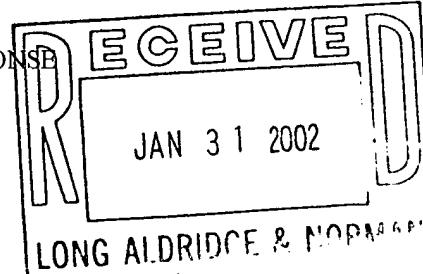
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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